



Robert A. Harris, Esq.

Current Employer-Title	Radian (NYSE: RDN) - Senior Vice President, Deputy General Counsel
Profession	Corporate Counsel, Arbitrator, Mediator
Work History	Senior Vice President and Deputy General Counsel, Radian Group Inc., 2012-Present; Attorney, Levett Rockwood P.C. (now, Verrill Dana), 2011-2012; General Counsel and Chief Administrative Officer, OpHedge Investment Services LLC (now, Citco Fund Services), 2005-2010; Private Practice, 1982-2005.
Experience	<p>Multiple legal careers, first as a litigator of commercial and employment disputes (23 years), followed by service as general counsel to two financial services companies (15 years).</p> <p>Litigation experience (1982-2005) encompassed all aspects of the business enterprise, including mergers, acquisitions and joint ventures, employment matters (especially involving senior management's contractual, compensation, nondisclosure, noncompetition and nonsolicitation rights and obligations), supplier, client and customer relationships, financing arrangements, real estate issues, and the contractual and fiduciary relationships existing among partners, shareholders and other business owners.</p> <p>In-house experience (2005-Present) first involved service as general counsel, chief administrative officer and corporate secretary to OpHedge Investment Services, LLC (subsequently acquired by Citco Fund Services), a multinational hedge fund administrator that serviced some of the industry's largest and most complex hedge funds, asset managers and private equity funds. At OpHedge, had ownership of all legal matters for the company and its three international subsidiaries, and was a member of the senior management team that in five years took the company from start up to a successful exit liquidity event.</p> <p>In 2012, commenced service as general counsel to Clayton Holdings LLC, the mortgage and real estate services company subsequently acquired by Radian Group Inc. (NYSE:RDN). Current title at Radian is Deputy General Counsel, Mortgage and Real Estate Services, serving as the chief legal officer for Radian's products and services used by financial institutions, investors and government entities to evaluate, acquire, securitize, service and monitor loans, real estate assets and asset-backed securities. Responsibilities include legal oversight of contractual, litigation, human resources, intellectual property, information technology, leasehold, mergers, acquisitions and divestitures matters for company's asset management, title, valuations and real estate brokerage businesses.</p> <p>International experience both in private practice and as in house counsel, including representation of international clients in litigation and arbitration matters, representation of association of European medical device distributors, and</p>

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oversight of legal matters for international corporate subsidiaries (Shanghai, United Kingdom and Cayman Islands), including licensing and regulatory, employment, leasehold and real estate, corporate formation and divestiture issues.

Also authors two websites/blogs/Linked In groups regarding dispute resolution:
--Positively Neutral (www.positivelyneutral.com), providing arbitration and mediation news for attorneys and their clients.

--Golf Dispute Resolution (www.golfdisputeresolution.com), tracking the intersection of golf and law, including the resolution of golf-related business disputes.

Additional information available on LinkedIn [[linkedin.com/in/robert-harris-96a7558/](https://www.linkedin.com/in/robert-harris-96a7558/)].

Alternative Dispute Resolution Experience

Since 1990, has served as an arbitrator of disputes arising in New York City, surrounding counties and Connecticut. Has been a prominent participant in the Alternative Dispute Resolution community, including serving a three-year term as chair of the Connecticut Bar Association's Dispute Resolution Section.

Particular experience and strength in the efficient management of complicated and contentious disputes. Has served as an arbitrator (including serving as chair and member of three arbitrator panels) in commercial and contractual disputes involving corporations, partnerships, limited liability companies, management, shareholders, investors, management and senior employees, and contractors that have encompassed financial services (e.g., hedge fund, private equity, banking and asset management), professional services (e.g. medical, legal and accounting), real estate development and construction, franchise, manufacturing and information technology.

Representative matters include:

- Contractual disputes between commercial entities, including complex relationships that involve multiple contracting parties involving claims of substantial damages
- Mergers & Acquisitions, Joint Ventures and Strategic Relationships, and their attendant issues (e.g. breaches of representations and warranties, non-competition and non-solicitation provisions, earn-outs, employment agreements, fraud and misrepresentation, payment)
- Privately owned business disputes (e.g., including misrepresentations and material omissions in contractual documents, breach of fiduciary duties by officers, directors and/or shareholders, usurpation of corporate, company and partnership opportunities, management and principal controversies, risks created by personal guaranties, the termination of credit by lenders, complications created by the divorce of an owner, nepotism, and non-competition and non-solicitation provisions)
- The separation of professionals (e.g. physicians, attorneys, accountants) from

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The AAA provides arbitrators to parties on cases administered by the AAA under its various Rules, which delegate authority to the AAA on various issues, including arbitrator appointment and challenges, general oversight, and billing. Arbitrations that proceed without AAA administration are not considered "AAA arbitrations," even if the parties were to select an arbitrator who is on the AAA's Roster.

practice groups, and attendant issues (e.g. compensation and equity valuation, breach of fiduciary duties, personal guaranties of corporate obligations, non-competition and non-solicitation agreements, and real estate ownership)

- Employment disputes, especially for senior level executives, including compensation, termination, noncompete, nonsolicitation and severance issues, equity participation arrangements, covenants not to compete, trade secrets, and usurpation of corporate opportunities
- Construction disputes, including delay claims, wrongful termination, deficient work, subcontractor and supplier performance, and payment issues.
- Professional negligence, including liability and issues involving statutes of limitation, continuing course of conduct, and causation
- Franchise matters, including offering document misrepresentation, franchise fees, lack of franchisor support, and franchisee's failure to comply with requirements.

Alternative Dispute Resolution Training

ACE20 - Cyber Security: A Shared Responsibility, 2020; AAA ACE19 Case Finances: What Arbitrators Need to Know, 2019; AAA Arbitrator Performance and Demeanor: Meeting Participant Expectations, 2018; AAA Exercising Arbitrator Discretion - A Look at Some Best Practices ACE11, 2017; AAA The Ethics of eDiscovery in Arbitration, 2016; ADR and Emerging Technologies: The Future Has Arrived, 2015; AAA Controlling Chaos in Mediation: Navigating the Behavioral Paradigm, 2014; ABA, 11th Annual Spring Conference, 2009; AAA Dealing With Delay Tactics in Arbitration ACE04, 2008; AAA Pro Se: Managing Cases Involving Self-Represented Parties ACE02, 2006; AAA Chairing an Arbitration Panel: Managing Procedures, Process & Dynamics ACE05, 2005; AAA Arbitration Awards: Safeguarding, Deciding & Writing Awards ACE01, 2003; AAA Construction Industry Arbitrator II Training: Advanced Case Management Issues, 2002; AAA Arbitrator Update 2001; AAA Construction Industry Arbitrator Training, 2000; AAA Commercial Arbitrator Training, 1999.

Professional Licenses

Admitted to the Bar: New York (2005), Connecticut (1982); U.S. District Court: District of Connecticut (1982), Southern District of New York (2012); U.S. Court of Appeals: Second Circuit.

Professional Associations

American Bar Association; Connecticut Bar Association; American Bar Foundation; Connecticut Bar Foundation.

Education

University of Virginia (JD-1982); Haverford College (BA-1978).

Publications and Speaking Engagements

REPRESENTATIVE PUBLICATIONS:
"Positively Neutral" (www.positivelyneutral.com); "Golf Dispute Resolution" (www.golfdisputeresolution.com); "Bib Brouhaha: Golf Caddies' Lawsuit Challenges PGA Tour's Compensation and Benefit Structure," ELON LAW REVIEW, 2015; "Private Equity Firms: Centralizing the Review of Litigation Risk of Portfolio Companies," CLIENT NEWSLETTER, 2011; "Keeping Doctors Out Of The Courthouse: Why Mediation Is Well-Suited For Physicians' Business

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Disputes," CLIENT NEWSLETTER, 2011; Contributing writer, monthly columnist on ADR topics, CONNECTICUT LAW TRIBUNE, 2002-05; "The Passionate World of Business Divorce: Some Tips for Counsel," DISPUTE RESOLUTION JOURNAL, 2004; contributing author, MEDIATION PRACTICE BOOK, 2002; "Developing a Mediation Culture Among Commercial Litigators," CONNECTICUT LAWYER, 2000.

REPRESENTATIVE SPEAKING ENGAGEMENTS:

"Avoiding the Litigation Time Bomb: Successful Strategies for the Golf Industry," PGA Merchandise Show, January 2012, Orlando; Co-Chair, "An Arbitration Grand Slam, Reestablishing the Goals for Quicker, Cheaper, Better Protocols for Corporate and Transactional Counsel," Connecticut Bar Association and Westchester-Fairfield County Corporate Counsel Association, 2011; "What You Don't Know About ADR Can Hurt You," Connecticut Bar Association, 2010; "Regulation of ADR Provider Organizations," John A. Speziale Fifth Alternative Dispute Resolution Symposium, 2005; "Recent Developments in Alternative Dispute Resolution," Connecticut Bar Association, 2004; "Negotiating Skills," Connecticut Association of School Business Officials, 2004; "Contracts and ADR: Everything Transactional Attorney Needs to Know About Arbitration and Mediation But Never Bothered to Ask," Connecticut Bar Association, 2003; "Avoiding or Surviving Litigation," Chamber of Commerce, 2002; "Resolving Disputes Among Owners of Closely Held and Family Businesses," Connecticut Bar Association, 2001.

Awards and Honors	2004 Recipient of the Honorable Robert C. Zampano Award for Excellence in Mediation; American Bar Foundation Fellow; Connecticut Bar Foundation Fellow.
Locations Where Parties Will Not be Charged for Travel Expenses	Provides arbitration and mediation services in New York City, nearby counties, and Connecticut without travel cost.
Citizenship	United States of America
Languages	English
Locale	White Plains, New York, United States of America
Compensation	
	Cancellation Period: 0 Days
	Comment: Compensation rates, established by the AAA, are set forth in the applicable Consumer Arbitration Rules.

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